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| APPLICATION NO.  | FILING DATE      | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|--|------------------|----------------------|-------------------------|------------------|
| 09/970,547   | 10/03/2001       | Glen Jorgensen       | 18405-115               | 1371             |
| 30623 7  | 590 03/18/2003   |                      |                         |                  |
| MINTZ, LEVIN, COHN, FERRIS, GLOVSKY<br>AND POPEO, P.C.<br>ONE FINANCIAL CENTER |                  |                      | EXAMINER                |                  |
|  |                  |                      | SORKIN, DAVID L         |                  |
| BOSTON, MA   | BOSTON, MA 02111 |                      | ART UNIT                | PAPER NUMBER     |
|  |                  |                      | 1723                    |                  |
|  |                  |                      | DATE MAILED: 03/18/2003 |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

| <u> </u>  | _                                   | OK   |  |  |  |  |
|---|-------------------------------------|--|--|--|--|--|
|   | Application N .                     | Applicant(s)   |  |  |  |  |
|   | 09/970,547                          | JORGENSEN ET AL.                                     |  |  |  |  |
| Offic Action Summary  | Examiner                            | Art Unit   |  |  |  |  |
| •   | David L. Sorkin                     | 1723   |  |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply  | ears on the cov r sheet with the o  | correspondence address                               |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM   |                                     |  |  |  |  |  |
| THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |                                     |  |  |  |  |  |
| Status  |                                     |  |  |  |  |  |
| 1) Responsive to communication(s) filed on 22 /   | lovember 2002 .                     |  |  |  |  |  |
| 2a) ☐ This action is <b>FINAL</b> . 2b) ☑ Th  | s action is non-final.              |  |  |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims   |                                     |  |  |  |  |  |
| 4)⊠ Claim(s) <u>1-34</u> is/are pending in the application  |                                     |  |  |  |  |  |
| 4a) Of the above claim(s) is/are withdraw   |                                     |  |  |  |  |  |
| 5) Claim(s) is/are allowed.   | wi irom consideration.              |  |  |  |  |  |
| · · · · · · · · · · · · · · · · · · ·   |                                     |  |  |  |  |  |
| 6) ☐ Claim(s) is/are rejected. 7) ☐ Claim(s) is/are objected to.  |                                     |  |  |  |  |  |
| 8) Claim(s) <u>1-34</u> are subject to restriction and/or e   | election requirement                |  |  |  |  |  |
| Application Papers  |                                     |  |  |  |  |  |
| 9) The specification is objected to by the Examine  | r.                                  |  |  |  |  |  |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  |                                     |  |  |  |  |  |
| Applicant may not request that any objection to the   | e drawing(s) be held in abeyance. S | See 37 CFR 1.85(a).                                  |  |  |  |  |
| 11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.  |                                     |  |  |  |  |  |
| If approved, corrected drawings are required in reply to this Office action.  |                                     |  |  |  |  |  |
| 12) The oath or declaration is objected to by the Examiner.   |                                     |  |  |  |  |  |
| Priority under 35 U.S.C. §§ 119 and 120   |                                     |  |  |  |  |  |
| 13) Acknowledgment is made of a claim for foreign   | priority under 35 U.S.C. § 119(     | a)-(d) or (f).                                       |  |  |  |  |
| a) ☐ All b) ☐ Some * c) ☐ None of:  |                                     |  |  |  |  |  |
| 1. Certified copies of the priority documents   | s have been received.               |  |  |  |  |  |
| 2. Certified copies of the priority documents   | s have been received in Applicat    | ion No   |  |  |  |  |
| <ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>   |                                     |  |  |  |  |  |
| 14)⊠ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).   |                                     |  |  |  |  |  |
| a) ☐ The translation of the foreign language pro  15)☐ Acknowledgment is made of a claim for domesti  | visional application has been re    | ceived.  |  |  |  |  |
| Attachment(s)   |                                     |  |  |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)   | 5) Notice of Informal               | y (PTO-413) Paper No(s) Patent Application (PTO-152) |  |  |  |  |
|   |                                     |  |  |  |  |  |

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## **DETAILED ACTION**

## Election/Restrictions

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - Claims 1-19, drawn to a plurality of processing in and expressor chambers, classified in class 417, subclass 473.
  - II. Claims 20-22, drawn to a continuous flow centrifuge, classified in class494, subclass 45.
  - III. Claims 23-25, drawn to a fluid connector, classified in class 285, subclass 124.5.
  - IV. Claims 26-34, drawn to a method of independently and simultaneously processing a plurality of samples in a centrifuge, classified in class 494, subclass 37.

The inventions are distinct, each from the other because of the following reasons:

2. Inventions I and II are related as combination and subcombination. Inventions in this relationship are distinct if it can be shown that (1) the combination as claimed does not require the particulars of the subcombination as claimed for patentability, and (2) that the subcombination has utility by itself or in other combinations (MPEP § 806.05(c)). In the instant case, the combination as claimed does not require the particulars of the subcombination as claimed because the combination does not require "central hubs constructed and arranged to define passages". The subcombination has separate utility such as pumping fluid in a static situation unrelated to centrifuging.

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3. Inventions I and III are related as subcombinations disclosed as usable together in a single combination. The subcombinations are distinct from each other if they are shown to be separately usable. In the instant case, the connector (III) has separate utility such as connecting elongated tubes, rather than processing and expressor chambers, or connecting chambers which do not have axial openings. See MPEP § 806.05(d).

- 4. Inventions I and IV are related as process and apparatus for its practice. The inventions are distinct if it can be shown that either: (1) the process as claimed can be practiced by another materially different apparatus or by hand, or (2) the apparatus as claimed can be used to practice another and materially different process. (MPEP § 806.05(e)). In this case the apparatus could be used to pump fluid in a static situation unrelated to centrifuging. Also, the apparatus could be used to process a single "sample" in multiple stages by passing it serially through a plurality of the chambers.
- 5. Inventions II and III are related as subcombinations disclosed as usable together in a single combination. The subcombinations are distinct from each other if they are shown to be separately usable. In the instant case, the connector (III) has separate utility such as connecting elongated tubes, rather than processing and expressor chambers, or connecting chambers which do not have axial openings. See MPEP § 806.05(d).
- 6. Inventions II and IV are related as process and apparatus for its practice. The inventions are distinct if it can be shown that either: (1) the process as claimed can be practiced by another materially different apparatus or by hand, or (2) the apparatus as

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claimed can be used to practice another and materially different process. (MPEP § 806.05(e)). In this case, the apparatus could be used to process a single "sample" in multiple stages by passing it serially through a plurality of the chambers.

- 7. Inventions III and IV are related as process and apparatus for its practice. The inventions are distinct if it can be shown that either: (1) the process as claimed can be practiced by another materially different apparatus or by hand, or (2) the apparatus as claimed can be used to practice another and materially different process. (MPEP § 806.05(e)). In the instant case, the connector (III) is not referred to at all in the method claims. In the instant case, the connector (III) has separate utility such as connecting elongated tubes, rather than processing and expressor chambers, or connecting chambers which do not have axial openings.
- 8. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.
- 9. Because these inventions are distinct for the reasons given above and the search required for each Group is different, restriction for examination purposes as indicated is proper.
- 10. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.
- 11. A request for an oral election to the above restriction requirement was not made due to the complexity of the requirement.



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Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

12. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David L. Sorkin whose telephone number is 703-308-1121. The examiner can normally be reached on 8:00 -5:30 Mon.-Fri..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wanda L. Walker can be reached on 703-308-0457. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9310 for regular communications and 703-872-9311 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0661.

**David Sorkin** 

March 12, 2003

CHARLES E. COOLEY PRIMARY EXAMINER

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